

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor:  
Si-Wook PARK

Group Art Unit: Not Yet Assigned

Application No.: 10/569,943

Examiner: Not Yet Assigned

Filed: February 28, 2006

Atty Dkt No: 21000-0002

Based on: PCT/KR03/01754

Customer No.: 57362

Confirmation No.: 1073

For: USER INTERFACING METHOD OF FINANCIAL SETTLEMENT USING  
PORTABLE PHONE

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED**  
**OFFICE (DO/EO/US)**

**MAIL STOP MISSING PARTS**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sirs:

In response to the Notification of Missing Requirements Under 35 U.S.C. §371 dated February 5, 2007, please find attached a copy of the executed Declaration and Power of Attorney, a copy of the Notice of Missing Requirements and a USPTO Fee Transmittal authorizing the surcharge amount of \$65.00, for late submission of the Declaration.

The executed Declaration/Power of Attorney should now fulfill the missing requirements of the application. The U.S. Patent and Trademark Office is requested to provide a Notice of Acceptance of Application and/or an Updated Filing Receipt for this patent application.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2006**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 65.00

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/569,943        |
| Filing Date          | February 28, 2006 |
| First Named Inventor | Si-Wook PARK      |
| Examiner Name        | Not Yet Assigned  |
| Art Unit             | NOT YET ASSIGNED  |
| Attorney Docket No.  | 21000-0002        |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-0951 Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

|  |                     |                 |                      |                                  |                      |
|--|---------------------|-----------------|----------------------|----------------------------------|----------------------|
| <b>Fee Description</b>   | <b>Fee (\$)</b>     | <b>Fee (\$)</b> |                      |                                  |                      |
| Each claim over 20 (including Reissues)                                | 50                  | 25              |                      |                                  |                      |
| Each independent claim over 3 (including Reissues)                     | 200                 | 100             |                      |                                  |                      |
| Multiple dependent claims  | 360                 | 180             |                      |                                  |                      |
| <b>Total Claims</b>  | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> | <b>Multiple Dependent Claims</b> |                      |
| - 20 or HP = _____   | x _____             | = _____         |                      | <b>Fee (\$)</b>                  | <b>Fee Paid (\$)</b> |
| HP = highest number of total claims paid for, if greater than 20.      |                     |                 |                      |                                  |                      |
| <b>Indep. Claims</b>   | <b>Extra Claims</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |                                  |                      |
| - 3 or HP = _____  | x _____             | = _____         |                      |                                  |                      |
| HP = highest number of independent claims paid for, if greater than 3. |                     |                 |                      |                                  |                      |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets        | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|---------------------|--------------|--|----------|---------------|
| _____ - 100 = _____ | / 50 = _____ | (round up to a whole number) x _____             | = _____  |               |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Surcharge for late submission of Declaration under 37 CFR 1.16(f)

|             |        |          |       |
|-------------|--------|----------|-------|
| 04/06/2007  | GFREY1 | 00000001 | 50095 |
| 04 FEB 2007 |        | 65.00    | 00    |

10569943

**SUBMITTED BY**

|                   |   |  |                        |
|-------------------|---|--|------------------------|
| Signature         | <u>Jean C. Edwards</u>                                    | Registration No. (Attorney/Agent) 41,728 | Telephone 202-824-1719 |
| Name (Print/Type) | Jean C. Edwards, Esq. by Sean L. Ingram (Reg. No. 48,283) | Date April 5, 2007                       |                        |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.


Response to Notification of Missing Requirements  
U.S. Patent Application No.: 10/569,943

Attorney Docket No.: 21000-0002  
Customer No. 57362

Applicants hereby petition for any extension of time which may be required to maintain the pendency of this case, and any required fee for such an extension is to be charged to Deposit Account No. 50-0951.

The Commissioner is directed and authorized to charge any additional fees or any underpayment of fees which may be required to maintain the pendency of this case or credit any overpayment to Deposit Account No. 50-0951.

Respectfully submitted,

  
Jean C. Edwards, Esq.  
Registration No. 41,728

(57362)  
AKERMAN SENTERFITT  
801 Pennsylvania Avenue N.W., Suite 600  
Washington, D.C. 20004  
**Telephone: 202/824-1719**  
**Facsimile: 202/824-1791**  
**Date: April 5, 2007**



## UNITED STATES PATENT AND TRADEMARK OFFICE

21060.0002

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/569,943                  | Si-Woo Park           | 71503.0007       |

RECEIVED

57362  
AKERMAN SENTERFITT  
801 PENNSYLVANIA AVENUE N.W.  
SUITE 600  
WASHINGTON, DC 20004

FEB - 9 2007

Akerman Senterfitt

|                               |               |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. |               |
| PCT/KR03/01754                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 08/29/2003                    |               |

CONFIRMATION NO. 1073

371 FORMALITIES LETTER



\*OC000000022328556\*

Date Mailed: 02/05/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/28/2006
- Copy of the International Search Report filed on 02/28/2006
- Copy of IPE Report filed on 02/28/2006
- Copy of Annexes to the IPER filed on 02/28/2006
- Preliminary Amendments filed on 02/28/2006
- Information Disclosure Statements filed on 02/28/2006
- U.S. Basic National Fees filed on 02/28/2006
- Specification filed on 02/28/2006
- Claims filed on 02/28/2006
- Drawings filed on 02/28/2006

DOCKETED

FEB - 8 2007

Akerman Senterfitt

Missing Requirements

4-5-07

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/569,943                  | PCT/KR03/01754                | 71503.0007       |